



## Introduction

Let's consider trees in a forest. Forests are an important part of global carbon cycle, which plays a critical role in climate change, a serious problem facing human society.

- **main question** What is the basic mechanism allowing all of the tree species to coexist?
- **niche difference** A species' niche is all of the resources and environmental conditions it requires for living. Niche differences are the classical mechanism that ecologists hypothesize to explain competitive coexistence.
- **neutral biodiversity theory (NBT)** An alternative (and simpler) hypothesis is that all of the tree species in a forest coexist because they have same niche. Neutral biodiversity theory describes such a community, in which the stochastic events like birth, mortality, growth and speciation will dominate in shaping community-level characteristics. Deviations of observations from NBT's predictions could indicate when mechanisms other than chance are important.
- **size-structured biodiversity neutral theory** The original NBT ignored potential variation within species in birth and death rates. Size-structured NBT aims to improve upon NBT by considering size variation among individuals and associated variation in birth and death rates.
- **improving model of speciation and incorporating size variation in births** Prior work<sup>[1]</sup> began to develop size-structured NBT, but approximated speciation to be like immigration, and ignored size variation in birth rates. We are working to overcome these limitations to develop an accurate and complete size-structured NBT.

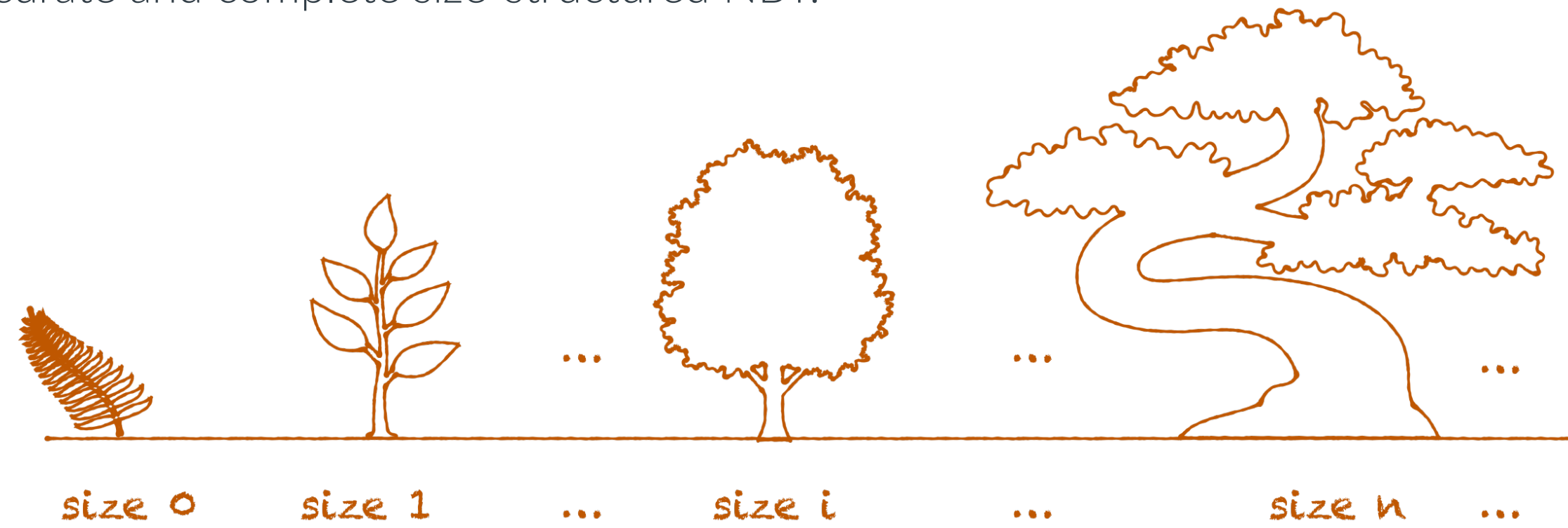


Figure 1. Size-structured NBT justifies individuals by size instead of species, because they all have same niche

## Methods

- **our spark for the original model:**

birth rate = b, death rate = d, speciation rate =  $\nu$  and growth rate = g

$$\frac{\partial S(n, t)}{\partial t} = \nu \delta_{n,1} + b(n-1)S(n-1, t) + d(n+1)S(n+1, t) - (b+d)nS(n, t), \quad \delta_{n,1} = \begin{cases} 0 & n \neq 0 \\ 1 & n = 0 \end{cases}$$

- **discrete master equation model** describe the dynamics of the discrete community in NBT.  $n_i$  is the abundance in size class i. The expected number of species in a given state i at time t is  $S(n_0, \dots, n_i, \dots, t)$ :

$$\begin{aligned} \frac{dS(n_0, \dots, n_i, \dots, t)}{dt} = & \sum_{i=0} b_i(n_i - \delta_{i0})S(n_0 - 1, \dots, n_i, \dots, t) - \sum_{i=0} b_i n_i S(n_0, \dots, n_i, \dots, t) \\ & + \nu \delta_{n_0 1} \prod_{i=1} \delta_{n_i, 0} \\ & + \sum_{i=0} d_i(n_i + 1)S(n_0, \dots, n_i + 1, \dots, t) - \sum_{i=0} d_i n_i S(n_0, \dots, n_i, \dots, t) \\ & + \sum_{i=0} g_i(n_i + 1)S(n_0, \dots, n_i + 1, n_{i+1} - 1, \dots, t) \\ & - \sum_{i=0} g_i n_i S(n_0, \dots, n_i, n_{i+1}, \dots, t) \end{aligned} \quad (1)$$

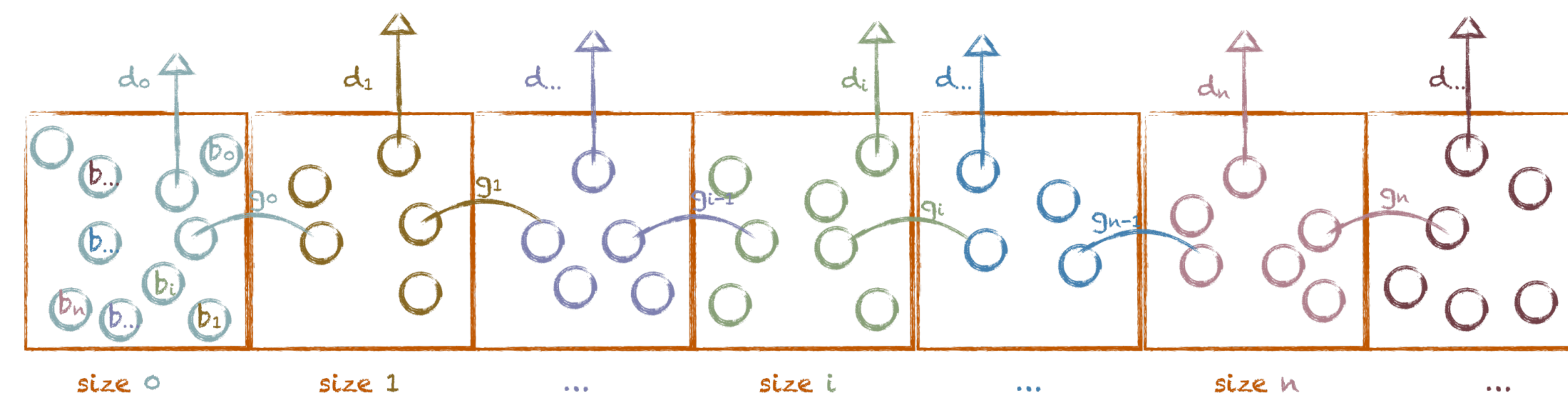


Figure 2. Each size state has stochastic birth, mortality and growth rate, the new individual will go to the size 0

- to take the biologically relevant limit of above equation in which discrete size classes become continuous, the above function in cast in terms of the mulvariate generating function as:

$$Z(h_0, \dots, t) = \sum_{\{n_i\}} S(n_0, \dots, t) e^{\sum_i h_i n_i} \quad (2)$$

where the sum is taken over all the possible combinations of abundances, and the generating function is defined so that derivatives of  $Z$ , taken at  $h_i = 0$  are equal to moments of the distribution  $S$ .

- Further, we transform  $\frac{dS}{dt}$  to  $\frac{\partial Z}{\partial t}$  by multiplying  $e^{\sum h_i n_i}$ , using partition function's properties, and taking the limit of continuous size, as the separation between size classes,  $\Delta m \rightarrow 0$ : Using dimensional analysis to assign the following scaling with  $\Delta m$ :

$$g_i = \frac{g(m_i)}{\Delta m} \quad d_i = \frac{d_i}{d(m_i)} \quad h_i = H(m_i)$$

$$\begin{aligned} \frac{\partial Z}{\partial t} = & \int_{m_0}^{\infty} dm d(m) \frac{\delta Z}{\delta H(m)} (e^{-H(m)} - 1) + \int_{m_0}^{\infty} dm b(m) \frac{\delta Z}{\delta H(m)} (e^{H(m_0)} - 1) \\ & + \int_{m_0}^{\infty} dm g(m) \frac{\delta Z}{\delta H(m)} \frac{dH}{dm} + \nu e^{H(m_0)} \end{aligned} \quad (3)$$

## Results

- We found one possible solution to the equation above for the partition function:

$$Z = \int_{m_0}^{\infty} dm f(m) (e^{H(m)} - 1) \quad (4)$$

where  $f(m)$  must satisfy the following conditions

$$\begin{cases} 0 = -\frac{d(g(m)f(m))}{dm} - d(m)f(m) + b(m)f(m) (e^{H(m_0)} - 1) \\ f(m_0)g(m_0) = \nu \frac{e^{H(m_0)}}{e^{H(m_0)} - 1} + \int_{m_0}^{\infty} dm b(m)f(m)e^{H(m)} \end{cases}$$

- We next worked to derive the species abundance distribution (SAD) and the species biomass distribution (SBD) from this partition function by considering different forms for the function  $H(m)$  appropriate to those distributions. For simplicity, we first consider a completely neutral community, whose growth rate, birth rate and mortality rates are independent of individual mass:

$$g(m) = g \quad b(m) = b \quad d(m) = d$$

for which we expect the SAD may be the same as the original NBT predictions.



Figure 3. Species Abundance Distribution(SAD): The number of individuals in the community. Species Biomass Distribution(SBD): The mass of all individuals in the community

- **Species Abundance Distribution (SAD)**  
The total abundance of a community can be related to the partition function simply by choosing  $H(m)$  to be a constant  $h$  (i.e.  $h_i = h$  for all  $i$ ). This can be seen by noting that the moment one would obtain by differentiating the partition function defined in Eq(3) with respect to  $h$  would be the average species abundance. We also assume for simplicity that new individuals are born with size zero (i.e.  $m_0 = 0$ ):  $S(N)$ =the expected number of species with N total individuals across size classes

$$f_{sad}(m) = e^{(b(e^h - 1) - d)m/g} \cdot \frac{\nu e^h}{g(e^h - 1)(1 - b e^h(d - b(e^h - 1)^{-1}))} \quad (5)$$

$S(N)$  and  $Z_{sad}[h]$  is related through

$$Z_{sad}[h] = \int S(N) e^{hN} dm \quad (6)$$

thus, using inverse Laplace transform we get

$$S(N) = \mathcal{L}^{-1}(Z_{sad}[-h]) \quad (7)$$

- **Species Biomass Distribution (SBD)**  
In SBD, we are interested in the distribution across the community of the total species biomass  $M$ , where  $M = \sum_i m_i n_i$  or in the continuum limit  $M = \int dm n(m)$ . Similar to SAD, we can also choose a specific form of  $H(m) = hm$  (i.e.  $h_i = h \cdot m_i$ ). The partition function now is characterized by the expotential factor  $e^{\int h m n(m) dm} = e^{hM}$ , whose derivative with respect to  $h$  (evaluated at  $h = 0$ ) gives the average total biomass across species. Using the similar approach before, we first solve the biological realism condition equation, and find the bellowed solution:  
 $S(M)$  = the expected number of individuals with M total biomass

$$f_{sbd}(m) = \frac{\nu D}{(1 + D)g e^{m_0 b D/g}} e^{m b D/g} \quad (8)$$

Following the same procedure as SAD case, we can calculated the partition function  $Z_{sbd}[h]$  and further resolve the distribution  $S(M)$  through inverse Laplace transform.

## Discussion/conclusion

- We add biological realism that demographic rates in nature are correlated with size structure into neutral biodiversity theory to build a better model for comparison with real communities.
- We found the one possible time-independent solution to our key equation.
- We calculate the complete neutral case of SBD and SAD, and find an infinite series answer for SAD.

What we still need to do:

- We need to calculate the inverse Laplace transform for  $Z_{sad}[h]$  and  $Z_{sbd}[h]$  to get the  $S(N)$  and  $S(M)$
- We need to analysis the complete neutral case situation and stochastic size-structured situation for SBD and SAD based on our model.
- Once we solve for  $S(N)$  and  $S(M)$  we plan to compare these predictions to available data for forest communities.

## References

[1] J.PO'Dwyer, J.K.Lake, A.Ostling, V.M.Savage, and J.L.Green.  
An integrative framework for stochastic, size-structured community assembly.  
*Proceedings of the National Academy of Sciences*, 106:6170–6175, 2009.